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PTO/SB/21 (01-03)  
\$

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

32

Application Number 09/746,754

Filing Date 12/21/2000

First Named Inventor Smith, Randall G.

Group Art Unit 2863

Examiner Name Bhat, Aditya S.

Attorney Docket Number POLY8

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☒ Amendment/Reply

☒ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request (2 month)

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return Postcard; Check in the amount of \$1160  
**Statement of Common Ownership**

### Remarks:

Page count does not include pages in cited references.

RECEIVED  
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TECHNOLOGY CENTER 2800

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Charles Vorndran, Ph.D., Reg. No. 45,315

Signature

*Charles Vorndran*

Date

May 2, 2003

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name

Kita L. Hall

Signature

*Kita L. Hall*

Date

May 2, 2003

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## FEE TRANSMITTAL for FY 2003

Effective 01/01/03, patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1160.00)

### Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/746,754        |
| Filing Date          | 12/21/2000        |
| First Named Inventor | Smith, Randall G. |
| Examiner Name        | Bhat, Aditya S.   |
| Art Unit             | 2863              |
| Attorney Docket No.  | POLY8             |

### METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

20-1507

Deposit  
Account  
Name

TROUTMAN SANDERS LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large<br>Fee | Entity<br>Fee | Small<br>Fee | Entity<br>Fee | Fee Description        | Fee Paid |
|--------------|---------------|--------------|---------------|------------------------|----------|
| Code         | (\$)          | Code         | (\$)          |                        |          |
| 1001         | 750           | 2001         | 375           | Utility filing fee     |          |
| 1002         | 330           | 2002         | 165           | Design filing fee      |          |
| 1003         | 520           | 2003         | 260           | Plant filing fee       |          |
| 1004         | 750           | 2004         | 375           | Reissue filing fee     |          |
| 1005         | 160           | 2005         | 80            | Provisional filing fee |          |
| SUBTOTAL (1) |               |              |               |                        | (\$)     |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from Fee Paid  
below

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

| Large<br>Fee | Entity<br>Fee | Small<br>Fee | Entity<br>Fee | Fee Description  | Fee Paid |
|--------------|---------------|--------------|---------------|--|----------|
| Code         | (\$)          | Code         | (\$)          |  |          |
| 1202         | 18            | 2202         | 9             | Claims in excess of 20                                     |          |
| 1201         | 84            | 2201         | 42            | Independent claims in excess of 3                          |          |
| 1203         | 280           | 2203         | 140           | Multiple dependent claim, if not paid                      |          |
| 1204         | 84            | 2204         | 42            | ** Reissue independent claims over original patent         |          |
| 1205         | 18            | 2205         | 9             | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |               |              |               |  | (\$)     |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR after Examiner action                        |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 410      | 2252         | 205      | Extension for reply within second month                                    | 410      |
| 1253         | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254         | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255         | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401         | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403         | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501         | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502         | 470      | 2502         | 235      | Design issue fee   |          |
| 1503         | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 750      | 2801         | 370      | Request for Continued Examination (RCE)                                    | 750      |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1160.00)

### SUBMITTED BY

Name (Print/Type)

Charles Vorndran, Ph.D.

Signature

*Charles Vorndran*

### Complete (if applicable)

Registration. No.  
(Attorney/Agent)

45,315

Telephone

(404) 885-3813

Date

May 2, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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